

FINANCE AND PERFORMANCE COMMITTEE – 29th September 2020

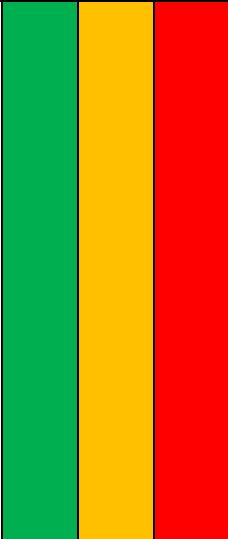
HIGHLIGHT REPORT

The key headlines/issues and levels of assurance are set out below, and are graded as follows:

Strength of Assurance	Colour to use in 'Strength of Assurance' column below
Low	Red - there are significant gaps in assurance and/or not properly assured as to the adequacy of action plans/controls
Medium	Amber - there is reasonable level of assurance but some issues identified to be addressed.
High	Green – there are no gaps in assurance and there are adequate action plans/controls

Report	Assurance level:	Committee escalation	ORR Ref:
Declarations of interest	NA	Richard Brown Associate Director Estates & Facilities declared the following interests: Richard P Brown Consulting Ltd & Advisor to Pineapple Contracts Global Advisory Board	NA
Minutes of the previous meeting	NA	The minutes from 18 th August 2020 were agreed.	NA
Matters Arising	NA	No matters arising not on the action log.	NA
Action log (<i>Paper B</i>)	NA	The committee agreed the 13 actions marked as complete. 5 actions were addressed by the agenda.	NA
Director of Finance, Business and Estates Update (<i>Verbal</i>)	NA	As part of the recovery program the updated 20/21 financial plan for the Leicester, Leicestershire and Rutland healthcare system and the trust continues to be developed for final submission in October. Trust Board and FPC will continue to be updated.	NA
Director of Strategy and Business Development Update (<i>Verbal</i>)	High	The IAPT tender has provided good opportunities to further develop our partnership working with Primary Care colleagues. LPT is also developing the opportunity to work with Charities around building social resilience i.e. helping communities to help themselves. SUTG is LPT's strategy all other Trust 'strategies' are being aligned as a supporting 'plan'. With the objective of creating a single strategy and a series of plans across the Trust. Audit committee are conducting a deep dive on the lessons learned from the Virtual Interpreting Business Case.	All
Business Pipeline (<i>Paper C</i>)	High	The committee was updated on the IAPT tender. Submission was 23 rd September and the assessment process is now underway. If successful mobilisation would be due in April 2021. It is a tight financial envelope. As part of our Quality Improvement remit it	6, 8

Report	Assurance level:		Committee escalation	ORR Ref:
			was agreed future submissions would include a lessons learnt review. The committee supported the tender.	
Estates and Facilities Management Update (<i>Paper D</i>)	Split High	Low	FPC was updated on FM performance transformation both in the short and longer term. Joint shared services meetings with UHL recommenced this week and have been productive. Next steps proposed are to establish a project board and develop the detailed business case for Trust Board approval. This piece of work around options including 'lift and shift' is large and has a target date of February 2021. It was agreed an interim recovery program for FM services would come to November's meeting. The major capital projects were listed in the report. As part of the Trusts recovery program a capital prioritisation meeting has been held and an agreement on rescheduling been agreed. Updates will be presented to FPC on progress through this paper each meeting. The revised Estates Plan (Strategy) has been rescheduled to March 2021 to ensure it reflects the emerging trends from COVID. The committee agreed that a split assurance was received from this report. High (green) assurance for Estates. FM performance is low (red) assurance until a short term recovery plan is finalised	10, 11
Financial Plan/Update – Month 5 (<i>Paper E</i>)	Split High	Medium	Month 5 has a £1.5m baseline overspend which following external 'top up' funding will give the Trust a break-even position. To date almost £7m has been claimed from the national Covid reimbursement fund which ends in September. Second half year financial budgets for LPT were being finalized as part of a new local NHS systems funding process. Work continues to bridge a £60m gap between the proposed NHSI budget and the LLR health system. Final submission date is 5 th October. FPC proposed the Trust Board receive a further update on the budget proposal prior to its submission. The committee agreed that a split assurance was received from this report. High (green) assurance for months 1-6 and medium (amber) assurance for the second half of the year due to the present difference in position outlined.	All
Treasury Management Policy (<i>Paper F</i>)	High		The committee received the policy and agreed the proposed amendment to not include an analysis of cash performance as it was already received as part of the monthly financial update.	NA
IMT Plan (<i>Paper G</i>)	High		The received the paper, commented on the paper prior to Trust Board approval and delegated authority of its management to the IM&T and Capital Committees.	48
IMPACT Provider Collaborative Gateway	NA		Confirmation had now been received from NHSE that Nottingham are the lead forensic provider from 1 st October 2020. LPT will be subcontractors. The establishment of the collaborative is underway and the wider impacts are being	NA

Report	Assurance level:	Committee escalation	ORR Ref:
Process (Verbal)		considered including the risk and gain share. FPC would receive regular progress updates	
OBC for Mental Health Inpatient Bed Re-provision (Paper H)	Medium	The committee approved the expenditure detailed in the plan. It agreed to receive a timeline for the completion of the OBC at its next meeting in November The committee agreed a medium (amber) level of assurance until the timeline for completion had been confirmed.	5, 6, 11, 48
Performance Report (Paper I)	High	The committee discussed basis of the report, the impact of COVID resulting in a deterioration in performance and the resulting need to update the trajectories and associated narrative. The recovery program, a workshop scheduled for 30 th October and the realignment of review meetings would result in the report being appropriately realigned. The committee on this basis agreed to review its level of assurance in November following completion of this work.	All
Waiting Times and Harm review Report (Paper J)		Performance levels across services remain primarily red as a result of COVID. The CAMHS ED performance is now green. The position around PIER has been maintained. There has been further deterioration around the 52 week waits. Particularly across 1 st appointments and the Personality Disorder service. The harm review process has resulted in a number of mitigations being implemented to manage the risk. Work is being undertaken on the phase 3 recovery plans, with the 52 week wait being a core element of this work. This is scheduled for completion in October. FPC agreed that a three way split for assurance on the report. Low (Red) assurance for performance, medium (amber) for waiting times and High (Green) for Harm review	5, 26, 28, 40, 44, 46
Deep Dive Workshop Review (Paper K)	High	The committee noted the minutes, agreed the action points and agreed that these documents can be circulated to the additional attendees at the meeting.	NA
Organisational Risk Register (Paper L)	High	It was agreed that following completion of the recovery work risks concerning Access and the financial position were reviewed at November's meeting. Risk owners of the 4 risks that have not changed in 12 months will be asked to contribute a short descriptive paragraph for next month's update.	All
Estates Medical Equipment Committee HR (Paper M)	High	FPC received the highlight report - 19 th August 2020. It was agreed the committee would receive regular progress updates on schemes highlighted to the Trust Board.	10, 11, 40
Transformation Committee HR 2020 (Paper N)	High	FPC received the highlight report - 18 th September 2020. No concerns were raised.	6, 8, 23, 40

Report	Assurance level:	Committee escalation	ORR Ref:
IM&T Committee HR (Paper O)	High	FPC received the highlight report - 21 st August 2020. No concerns were raised.	22, 23
Data Privacy Committee HR (Paper P)	High	FPC received the highlight report - 13 th August 2020. No concerns were raised.	22, 35
Strategic Waiting Times and Harm Review Committee HR August & Sept (Paper Q)		All directorates have now agreed a common action plan for waiting times and harm review; all have a prioritised list based on harm, length, reputation and safety. The next steps are keeping up momentum; ensure the work is done consistently and triangulation between patient safety and patient experience and harm through establishing a set of outcome based indicators. FPC way split on assurance was received for this report – low (red) agreed that a three assurance for performance; medium (amber) assurance for wait times and high (green) assurance for harm review.	5, 26, 28, 40, 44, 46
FPC Work Plan (Paper R)	NA	Work plan was agreed.	NA
AOB	NA	The committee noted and supported the revised reporting template.	NA

Chair	Geoff Rowbotham, Non-Executive Director
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