

**FINANCE AND PERFORMANCE COMMITTEE – 26<sup>th</sup> January 2021**

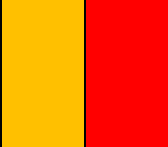
**HIGHLIGHT REPORT**

The key headlines/issues and levels of assurance are set out below, and are graded as follows:

Strength of Assurance	Colour to use in 'Strength of Assurance' column below
Low	Red - there are significant gaps in assurance and/or not properly assured as to the adequacy of action plans/controls
Medium	Amber - there is reasonable level of assurance but some issues identified to be addressed.
High	Green – there are no gaps in assurance and there are adequate action plans/controls

Report	Assurance level*	Committee escalation	ORR/Risk Reference
Matters Arising & Action Log	NA	The meeting reflected in its agenda and focus the Trust Board's priorities agreed during COVID. Assurance was primarily focused on 'new' and 'emerging' risks.	NA
Director of Finance, Business and Estates Update (Verbal)	NA	NHSI are pausing the 21/22 planning process and the current reimbursement process would continue into quarter 1. LLR have agreed to continue with the planning processes as agreed to achieve break even over 3 years. Meetings are being held with NHSI regional team in mid-February and the national team at the end of February to discuss further. The EU Exit group report no impacts on supplies of goods/services.	9, 10, 11, 40, 47, 48
Director of Strategy and Business Development Update (Verbal)	NA	An IAPT tender 'lessons learned' paper was agreed following a meeting with the CCG which will feed through to FPC at the 30th March meeting.	6, 40,
Financial Report Month 9 (Paper C)	Medium	It continues to be a difficult year end to forecast due to the ongoing impact of COVID. At month 9 a larger than projected underspend resulting from an improved income position and lower expenditure than planned has resulted in an adjusted position of 'break even' for 20/21 now being projected. The 'in year' operational implications of these movements, potential mitigations and considerations for 21/22 are being assessed. FPC noted the Beacon Unit overspend adjustment from £8m to £8.5m as an adjustment to the capital plan.	9, 10, 11, 40, 47, 48
Directorate Reports Month 9	Medium	All directorates highlighted their present position, key risks and confirmed that their finance managers are sighted on the above operational and investment	9, 10, 11, 40, 47, 48

Report	Assurance level*		Committee escalation	ORR/Risk Reference
(Paper D)			implications and are working closely with Sharon Murphy and her team to agree appropriate actions.. .	
NHS Standard Contract 2021/22 consultation Update (Verbal)	NA		There are 3 consultations underway at present covering tariff, data and non-contract activity. Non-contract activity and data – the team are supportive of the proposals. The tariff risk cannot fully be assessed yet. Further consultant narrative will be shared at SEB and then will come back to FPC.	All
Enteral Nutrition Tender Report (Paper E)	High		FPC approved the report to go to Trust Board on 2nd February 2021. On the basis further details would be provided to Trust Board concerning staff training and the approach proposed in communicating any changes to existing service users.	All
Business Pipeline (Paper F)	High		The committee received the report for information. Confirmation was received that the NHSI/E panel assessment for the East Midlands Provider collaborative for CAMS & AED was being reviewed on 29 <sup>th</sup> Jan 21. High assurance was received from the report.	All
Estates and Facilities Management Update (Paper G)	H	M	Supporting teams through COVID continued. Three key potential risks were highlighted as areas being impacted. The capital programme; development of the FM transformation and OBC ,ongoing FM operations and UHL engagement. FPC agreed that split assurance was received from this report. High assurance as there is a good understanding of the risks and medium assurance due to ongoing challenges with resources, and delivery of key performance measures and outcomes.	9, 10, 11, 26, 40, 47
Performance Report (Paper H)	Low		FPC received, supported and welcomed the progress to date in improving the compilation of the report across a number of the agreed actions. A further update was agreed would be provided at its next meeting regarding the outstanding actions including the resolution of system1 migration and resourcing the team. Proposed adjustments for 21/22 would be highlighted to FPC and QAC. FPC recognised considerable progress is now being made. Low assurance was agreed whilst the two key outstanding actions remained unresolved.	All
Waiting Times Report (Paper I)	M	L	Waiting times performance is being significantly impacted by Covid. Services highlighted areas of risk and improvement. Recovery plans agreed in Nov would be reviewed both from a trajectory and timescale perspective. In light of this and as a result of the detailed Harm Review outcome measures being delayed, it was agreed a more basic assurance measure of understanding of harm would be developed and proposed. FPC agreed it was brought to the 3 <sup>rd</sup> March Trust Board meeting rather than wait until it next met. FPC agreed that split assurance was received for this	28, 40, 45

Report	Assurance level*	Committee escalation	ORR/Risk Reference
		report. Medium assurance for the processes being applied. Low assurance for performance and ability to assess the assurance level of any Harm related outcomes	
ORR (Paper J)	High	De-escalation of the ageing well risk and the EPR migration risk was agreed. Risk 35 Data Quality remains and is being adjusted to cover the residual issues relating to EPR. To maintain an appropriate level of assurance FPC agreed key risks and risk scores would be updated from today's QAC/FPC meetings for review at the next Trust Board.	All
Governance Catch Up Plan – (Verbal)	NA	Items delayed due to covid pressures were discussed and plans were confirmed to be in place to ensure that these are covered at a later date. The governance architecture work that has been ongoing jointly with NHFT has had a full review with both teams and an external consultant. From this architecture work, the new comprehensive, aligned committee work plans for 21/22 are being developed for committee review in March and approval at Trust Board in April	20, 33
Estates and Medical Equipment Committee Highlight Report – 18 <sup>th</sup> November 2020 (Paper K)	High	Received by FPC.	9, 10, 11, 26, 40, 47
Data Privacy Committee Highlight Reports – 17 <sup>th</sup> November 2020 & 16 <sup>th</sup> December 2020 (Paper Li & Lii)	High	Received by FPC.	35
Capital Management Committee Highlight Report – December 2020 & 13 <sup>th</sup> January 2021– (Paper M)	High	Received by FPC.	48

Report	Assurance level*	Committee escalation	ORR/Risk Reference
Strategic Waiting Times and Harm Review Committee – 30 <sup>th</sup> November 2020 (Paper N)	High	Received by FPC.	28, 40, 45
FPC Work Plan for next meeting (Paper O)	NA	Agreed this may change subject to COVID priorities	NA
Revisit ORR	NA	No further risks were raised.	NA
Any Other Urgent Business	NA	Beacon Review Action Plan - 12 actions in relation to the Beacon Unit – the 4 red actions have now moved from red. FPC will receive updates on this which are being monitored internally via the Estates Committee Highlight Report. A final paper will be brought to FPC in July 2021.	All

Chair	Geoff Rowbotham, Non-Executive Director
-------	---