

## FINANCE AND PERFORMANCE COMMITTEE – 25<sup>th</sup> May 2021

### HIGHLIGHT REPORT

The key headlines/issues and levels of assurance are set out below, and are graded as follows:

Strength of Assurance	Colour to use in 'Strength of Assurance' column below
Low	Red - there are significant gaps in assurance and/or not properly assured as to the adequacy of action plans/controls
Medium	Amber - there is reasonable level of assurance but some issues identified to be addressed.
High	Green – there are no gaps in assurance and there are adequate action plans/controls

Report	Assurance level*	Committee escalation	ORR/Risk Reference
Director of Finance Update – Verbal	N/A	Work has begun on H2 finances and there is a national expectation from NHSEI to look at the underlying financial position and discussions around the next 3-4 years' financial strategy are taking place. All outstanding actions from the Internal Audit follow-ups for procurement discussed at the last FPC have now been completed. A review of financial planning is scheduled for the 20 <sup>th</sup> July Trust Board Development meeting.	48, 54
CFO – Strategic Estates Update – Verbal	N/A	Three key strategic items for focus: refreshing the Estates Strategy; FM Transformation and the strategic piece around the all age mental health transformation. In addition to this there is work ongoing with fixed ligature risks and the fire safety list – moving at pace.	9, 10, 11
Director of Strategy and Business Development Update – Verbal	N/A	Fast moving conversations are taking place around developing an ICS and further updates will be provided at the next FPC meeting.	55
Finance Report Month 1 - Paper C	High	High level reporting for month 1 - mostly break even. FYPC overspend is being monitored. The non-covid agency spend is being monitored and next steps for managing spend are being considered. Better Payment Practice Code is on target and H1 delivery is all green. The agency spend will be reported as split Business As Usual /core/additional in order to help build the picture. A Workforce report will be presented to QAC in July.	48, 54
Reference Costs - Paper D	High	2019/20 Reference Costs paper – no feedback has been received on this following the national submission- once received a verbal update will be given to FPC. PLICs (patient level costing) will be used to measure	N/A

Report	Assurance level*	Committee escalation	ORR/Risk Reference
		and benchmark moving forward.	
Business Pipeline - Paper E	<b>High</b>	No matters for escalation	17
Performance Report Month 1 - Paper F	<b>H</b> <b>M</b>	The new 2021/22 metrics in the report will continue to be populated through the year. Business managers have reviewed and commented on the metrics. The urgent community response 2 hour/2 day reporting has a national system issue which TPP is resolving. Waiting times metrics will be aligned for future reporting. Good progress is being made with the new version of the report which will be more interactive. The narrative around CPA performance will be updated for the next report. FPC agreed high assurance for the performance management framework and medium assurance for the data and population of the data.	20, 35
Provider Collaborative Update – Verbal	<b>N/A</b>	Work around the lead provider and governance continues to progress. Risks are not yet quantifiable and won't be until the NHS nationally agrees any contract uplifts and the consequences of this are known.	N/A
Waiting Times and Harm Review Report - Paper G	<b>L</b> <b>M</b>	The 52 week waits has increased – mostly due to waiting for 1 <sup>st</sup> appointment. The largest waits are in ADHD and Personality Disorder services. Early intervention targets were met in April. Children's audiology is moving in the right direction. CAMHS Eating Disorders is a concern nationally. Actual harm whilst waiting is overseen by directorates and work is ongoing with the patient safety and complaints team. Some green shoots evident. FPC received Low assurance for the wait time and medium assurance for the mechanisms for mitigation around harm review.	1, 28
Data Security and Protection Toolkit – Annual Return to NHS Digital - Paper H	<b>High</b>	The submission will reflect that all assurances had been met, this was a good position for the Trust. FPC approved the submission of the annual return.	20
Leicestershire Health Informatics Service (HIS) Annual Report – Paper I	<b>Medium</b>	Last year HIS finished with a £350k underspend and work continues with colleagues in finance around the LPT and HIS budget as part of the underlying position work. SLAs will be reviewed after the Summer. Risks include the changing shape of the CCGs; the 20% cap on external income generation and cyber risks within the mixed economy estate. Covid had an impact on KPIs with the rollout of home working causing a number of breaches but this is back on track now.	N/A
ORR - Paper J	<b>High</b>	Risks 11 and 48 had been reduced due to actions taken. Consideration is being given to the amber assurance ratings – what it will take to make them green.	All FPC risks

Report	Assurance level*	Committee escalation	ORR/Risk Reference
		Risk 10 –the facilities management risk Turner and Townsend have been appointed in the compliance manager role and they sit on the shared services meeting adding an extra level of expertise.	
Transformation Committee – Future Approach – Paper K	<b>N/A</b>	The Transformation committee will be repositioned to continue to look at planning but also to be used as an engine room to link into the ICS and system work. There will be three sections – business planning; links to the system and transformation programmes in LPT– with key personnel attending. FPC endorsed the new approach and approved the changes to the terms of reference and membership.	N/A
Estates and Medical Equipment Committee Highlight Report – 21 <sup>st</sup> April 2021 - Paper L	<b>Medium</b>	Medical devices testing and calibration – a reminder has been sent out to operational teams. This risk has been transferred to the operational directors as it is a cultural issue. The FM transformation – covered on the ORR. Health and Safety report – covered in the fire and ligature reports. Estates Strategy refresh – a further meeting has been held since this paper was written and this will be refreshed under the vehicle of the Reflect, Reset, Rebuild work using the Strategic Property Group.	10, 11
IM&T Committee Highlight Report – 16 <sup>th</sup> April 2021 - Paper M	<b>High</b>	No areas to escalate.	1, 35, 48, 54
Data Privacy Committee Highlight Report – 10 <sup>th</sup> April 2021 - Paper N	<b>High</b>	Now split into two meetings – data privacy one month and data quality the next. This has been a positive move, generating good conversations. Scanning error level is reducing.	35
Strategic Waiting Time and Harm Review Committee Highlight Report – Paper O	<b>Medium</b>	Report details what has been done to mitigate harm and manage wait times. Capacity is not growing and demand is likely to grow. Mitigation includes appreciating the need for contingency planning and emergency contact details and structured approaches are being used. Patient safety reports have been triangulated with patients on waiting lists Consistency of approach across directorates is now happening. A sample survey process is being discussed. FPC received medium assurance from the report - although agreed that the process and work of the committee offered high assurance.	1, 28, 40

Chair	<b>Faisal Hussain Non-Executive Director</b>
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