

### Public Trust Board - 26 October 2021

## **Organisational Risk Register**

The Organisational Risk Register (ORR) contains strategic risks that may prevent us from achieving our objectives. It is presented as part of a continuing risk review process.

## **Purpose of the report**

This report provides assurance that risk is being managed effectively.

## **Analysis of the issue**

A refresh of the organisational risk register and the Trust's risk appetite has been scheduled for 22 October 2021 in line with the draft Step Up to Great strategy refresh; this will incorporate horizon scanning for new risk.

The presentation of the ORR for this month has been maintained on the current risk profile as at 18 October 2021.

Overall there are 19 risks on the ORR.

- Risks 8 (transformation for people with LD and/or autism) and 52 (student placement capacity) were closed by the level 1 committees in September 2021
- Each risk has been reviewed and updated this month, there are no changes to current risk scores.

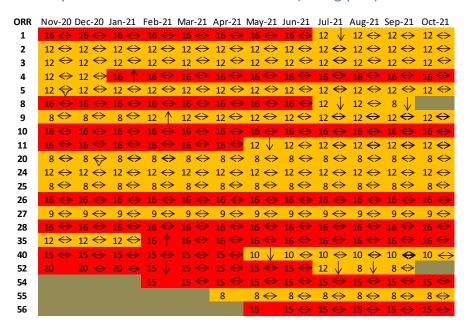
## Summary list of risks and scores October 2021

There continue to be seven risks with a high current score (15+).

No.	Title	SU2G	Initial risk	Current risk	Residual Risk	Target (Appetite)
1	The Trust's clinical systems and processes may not consistently deliver harm free care.	High Standards	16	12	8	8
2	The Trust's safeguarding systems do not fully safeguard patients and support frontline staff and services.	High Standards	12	12	8	8
3	The Trust does not learn from incidents and events and does not effectively share that learning across the whole organisation.	High Standards	15	12	8	8
4	Services are unable to meet safe staffing requirements	High Standards	12	16	12	8
5	Capacity and capability to deliver regulator standards	High Standards	12	12	8	8
9	Inability to maintain the level of cleanliness required within the Hygiene Standards	Environment / High Standards	12	12	8	8
10	Failure to implement planned and reactive maintenance of the estate leading to an unacceptable environment for patients to be treated in	Environment	16	16	12	12
11	The current estate configuration does not allow for the delivery of high quality healthcare	Environment	20	12	8	8
20	Performance management framework is not fit for purpose	Well Governed	20	8	4	4
24	Failure to deliver workforce equality, diversity and inclusion	Equality, Leadership, Culture	12	12	9	9
25	Staff do not fully engage and embrace the Trusts culture and collective leadership	Equality, Leadership and Culture	16	8	8	4
26	Insufficient staffing levels to meet capacity and demand and provide quality services	Equality, Leadership and Culture	16	16	12	12
27	The health and well-being of our staff is not maintained and improved	Equality, Leadership and Culture	9	9	6	6
28	Delayed access to assessment and treatment impacts on patient safety and outcomes	Access to Services	16	16	8	8

35	The quality and availability of data reporting is not sufficiently	Well Governed	16	16	12	12
	mature to inform quality decision making					
40	The ability of the Trust to deliver high quality care may be affected	High Standards	20	10	10	10
	during a Coronavirus COVID-19 pandemic					
54	We are unable to deliver the LPT 2021/22 financial plan, LPT	Well Governed	15	15	10	6
	operational plans or LLR system plans.					
55	The Leicester/Leicestershire / Rutland system does not deliver the	Well Governed	8	8	6	6
	transformation needed to deliver a successful ICS					
56	Delivery of service recovery and workforce restoration will not	High Standards	15	15	10	10
	safeguard the health and wellbeing of our staff and service users					

#### Summary trend of risk scores for all live risks (rolling year) as at 18 October 2021



#### **Proposal**

- On-going business rhythm of monthly ORR review and maintenance
- To continue to horizon scan

# **Decision required**

To confirm a level of assurance over the management of strategic risk on the ORR.

#### **Governance table**

For Board and Board Committees:	Trust Board 26 October 2021		
Paper sponsored by:	Chris Oakes, Director of Governance and Risk		
Paper authored by:	Kate Dyer, Deputy Director of Governance and Risk		
Date submitted:	18 October 2021		
State which Board Committee or other forum within the Trust's	Regular ORR reports to level 1 Committees and the		
governance structure, if any, have previously considered the	Trust Board. This October 2021 version has not		
report/this issue and the date of the relevant meeting(s):	been to any other forum.		
If considered elsewhere, state the level of assurance gained by the			
Board Committee or other forum i.e. assured/ partially assured /			
not assured:			
State whether this is a 'one off' report or, if not, when an update	Each meeting		
report will be provided for the purposes of corporate Agenda			
planning			
STEP up to GREAT strategic alignment*:	High <b>S</b> tandards	Yes	
	Transformation	Yes	
	Environments	Yes	
	Patient Involvement	Yes	
	Well <b>G</b> overned	Yes	
	Single Patient <b>R</b> ecord	Yes	

	Equality, Leadership, Culture	Yes	
	Access to Services	Yes	
	Trust wide Quality Improvement	Yes	
Organisational Risk Register considerations:	List risk number and title of risk	Yes	
Is the decision required consistent with LPT's risk appetite:	Yes		
False and misleading information (FOMI) considerations:	None		
Positive confirmation that the content does not risk the safety of	Confirmed		
patients or the public			
Equality considerations:	None		