

Finance and Performance Committee 30th November 2021

Highlight Report

Strength of Assurance	Colour to use in 'Strength of Assurance' column below
Low	Red - there are significant gaps in assurance and/or not properly assured as to the adequacy of action plans/controls
Medium	Amber - there is reasonable level of assurance but some issues identified to be addressed.
High	Green – there are no gaps in assurance and there are adequate action plans/controls

Agenda Item:	Assurance level:	Committee escalation:	ORR Risk Reference:
Director of Finance Update – Verbal Including: 20/21 Reference Costs – Paper C	High	H2 plan submission is in and the focus is now on the efficiency plan for next year. 20/21 Reference Costs – Paper C Final paper received confirming reference costs completed in line with guidelines. Service line profitability will be considered and the QIA process is embedded into the efficiency programme. Three main strands this year – Trust wide, Transformation and value approach and service savings. FPC approved the 20/21 reference costs paper.	70, 71
CFO – Strategic Estates Update – Verbal	NA	CQC actions and progress against them continues to be monitored; successful cleaning audit recently took place; safety evaluation audits are now complete with minor remedial works to be undertaken; all work streams are on track and increased capacity for estates and sustainability planned.	65, 66, 67
Director of Strategy and Business Development Update – Verbal	NA	Update contained within Business Pipeline paper (Paper H).	64, 72
Finance Report Month 7 - Paper D	H M	Income and expenditure remains at breakeven over all with LD having a material overspend which is being monitored and discussions are ongoing. There will be a reduction in capital charges at the year-end due to the high level of cash held. Agency spend is at £2.6m in month 7 and operational executive board are monitoring this closely and actions are being taken with safety over winter a priority. BPPC performance has dipped in month 7. Plans	70, 71

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	<div style="display: flex; justify-content: space-between; width: 100%;"> <div style="width: 45%; background-color: green; height: 100%;"></div> <div style="width: 45%; background-color: yellow; height: 100%;"></div> </div>		<p>are also being put in place to try to address DMH medical locums – safe patient care is paramount. The patient acuity is a national picture and not expected to reduce. Issues around recruitment are ongoing. FPC approved the amendments to the capital programme detailed in the paper and received a split high medium assurance – we are forecasting break-even but this may not be the case next financial year.</p>	
Fleet Management (Lease Cars) Tender Report - Paper E	High		Contract approved FPC approved the tender report with recommendation to approve the preferred bidder to go to Trust Board.	65
MEVPN Non MEPVN – Paper F	High		FPC approved the tender report with recommendation to approve the preferred bidder to go to Trust Board	65
Archiving Records Management – Paper G	H	M	FPC approved the tender report with recommendation to approve the preferred bidder to go to Trust Board. Split assurance for contract management /lessons learned to build into procurement activity.	65
Business Pipeline – Paper H	High		Range of activity across directorates. FPC received the report for information and note the planned actions and readiness to respond to all relevant tenders/ opportunities.	64, 72
Performance Report Month 7 - Finance and Performance Metrics - Paper I	Medium		There has been an increase in covid infections following admission but no links between outbreaks have been identified. Trust continues to test, screen and triage all patients and use a risk assessment process to minimise /eliminate these. Waiting times shows a mixed picture - some deteriorated and some improved. Performance review meetings continue to look at the detail and track progress against planned trajectories. FPC approved the report and received a medium assurance as there are still metrics which require further refinement and to resolve issues with.	68, 69
Waiting Times Report Month 7 - Paper J Including included Strategic Waiting	M	L	Discussion included Strategic Waiting Time and Harm Review Committee Highlight Report (Paper S) – Improvements evident on the report, services with national targets remain a challenge. Improvements are based on QI in directorates and increased	75

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Time and Harm Review Committee Highlight Report (Paper S)		recruitment of staff. Keeping well whilst waiting structures are consistently applied to all service lines. The narrative around this issue is complex and triangulation from the patient experience team is being used. A drop in performance will be evident whilst the waiting lists are addressed. FPC received split assurance from the report with confidence in the robust process giving medium assurance and current wait times and progress on tackling these giving low assurance. Deep dive planned for Jan 2022 Joint QAC and FPC Committee.	
Provider Collaborative Performance - Paper K	High	AED Provider Collaborative evolving well with good governance in place and clear impact of working together. Length of stay has been reduced from average of 201 days on 2020/21 to 135 days YTD. Creation of a single point of referral for AED giving a collaborative wide view.	57, 70, 71
Organisational Risk Register - Paper L	High	The ORR refresh is summarised in the report and the new tolerance levels are described within the report. The decision was made to close 5, create 5 and keep 14. FPC approved in principle the revised tolerance levels and proposed risks and risk changes.	All
FPC Mid-Year Review – Paper M	High	FPC have fulfilled their TORs and have seen increased directorate representation to support the committee. The committee has been effective.	57
Estates and Medical Equipment Committee Highlight Report 13 th October 2021	Medium	Concerns were registered about an emerging risk around supply chain issues and potential impact. An update on month 6 capital position was noted as significant underspend against plan – committee is tracking all issues closely.	65, 66
Transformation Committee Highlight Report 15 th October 2021 – Paper O	High	The committee has agreed Exec Membership and ToR signed off to reflect changes.	64, 65, 66, 67, 72
IM&T Committee Highlight Report 15 th October 2021– Paper P	Medium	One low assurance – NHS.net – revised comms are being launched this week to support the transfer.	68

Agenda Item:	Assurance level:	Committee escalation:	ORR Risk Reference:	
Data Privacy Committee Highlight Report 14 th September 2021– Paper Q	Medium	FOI compliance at 100% in Aug after slight dip in Jul 2021. Subject Access requests are at 64% below the expected 80% target mainly due to the number and complexity of these. Process change in place to improve this. Governance Team scrutinising the high number of recalls for paper files from storage. Committee not aware of potential risk of emails being lost during transfer to NHS.Net but will explore further and track issue.	68	
Capital Management Committee Highlight Reports 22 nd September & 23 rd November 2021 – Paper R	Medium	The delivery of the capital spend and supply chain national issues remain a concern. IT equipment lead times now at least 8 weeks e.g. laptops potential to impact productivity hence need for timely orders. Owing to capacity issues with Estates Contractor committee looking into use of other contractors to complete some schemes – under review.	65, 70, 71	
Strategic Waiting Time and Harm Review Committee Highlight Report 24th September 2021– Paper S –	M	L	Discussed earlier with Paper J. FPC received split assurance – medium for the process and low for the current outcomes.	75

Chair of Committee:	Faisal Hussain
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