

L

QAC 28th June 2022 9.00-12.00

Highlight Report

Strength of Assurance	Colour to use in 'Strength of Assurance' column below
Low	Red - there are significant gaps in assurance and/or not properly assured as to the adequacy of action plans/controls
Medium	Amber - there is reasonable level of assurance but some issues identified to be addressed.
High	Green – there are no gaps in assurance and there are adequate action plans/controls

Agenda Item:	Assurance level:	Committee escalation:	ORR Risk Reference:
Director of Nursing, AHPs & Quality Report – Paper C	NA	This was the last DON report submitted to QAC due to the new process planned. There was a focus on IPC and development of the Quality Dashboard. The interpretation of performance data which is supported by this report will be covered within the performance report agenda item moving forward.	
Medical Director Update – Paper D	NA	This was the last Medical Director report submitted to QAC due to the new process planned. There was a focus on medical workforce issues and suicide prevention. In future, items covered within this report can be raised by exception and assurance will be gained from Level 2 committee highlight reports.	
Director of HR Update – Paper E	NA	This was the last Director of HR report submitted to QAC due to the new process planned. Activity around recruitment and health and wellbeing of staff continues within the trust. In future this will be reported through specific agenda items and Strategic Workforce Committee for assurance.	
CQC Action Plan Assurance Report – Paper F	High	Additional must dos have been added from the recent re-inspection which had a positive report describing significant progress. All actions are on track and weekly monitoring continues. Since this report was written the Mental Health Liaison Team at UHL have received a very positive (not rated) narrative report following their inspection. Learning is shared in the Foundation For Great Patient Care forum and at the Quality Surveillance Tracker Meetings across directorates..	57, 62, 66

Agenda Item:	Assurance level:	Committee escalation:	ORR Risk Reference:
Performance Report - Quality and Workforce Measures – Paper G	Medium	The current vacancy rate is 14% and the Strategic Workforce Committee are monitoring this and looking at the way vacancies are reported with real time reporting being employed moving forward. Improvements in mandatory training and clinical supervision are evident. A working group is now looking at agency spend in detail with more rigorous controls and more senior oversight for authorisation planned. Different models of care and support are also being considered and a robust plan of reduction is being drafted with safe staffing being considered at every stage. An agreed set of plans with actions will be drafted and presented to QAC for assurance.	60, 74, 75
Provider Collaborative Performance - CAMHS Quality Summit – Paper H	High	It had been a successful year as the lead provider for AED. Feedback from patients and carers was positive and included within the report. An underspend is being carried forward to be used for frontline operational services including a virtual day care service offer. The average length of stay has reduced; occupancy levels remain static and there have been no serious incidents. The CAMHS Quality Summit was well attended and follow-on work is underway. Further information will be provided to QAC in August.	57
Safeguarding Quarter 4 Report – Paper I	L M	The Mental Capacity Act and Deprivation of Liberty work has moved from the Legislative Committee to the Safeguarding Committee from June 2022 - the safeguarding team have been leading on MCA & DOLS for many years so this is better aligned. The full guidance on LPSis currently out for consultation. The new version of safeguarding training has been delayed but will start in July 2022 and the ORR 58 has been updated to reflect this. QAC received split assurance from the report – low assurance around the safeguarding training due to the delays and medium assurance for the rest of the report.	57, 58, 61
Ockendon Report – Paper J	High	Triangulation against the report continues across the Trust including within directorates. Learning will be discussed at the Quality Forum and will feed up to QAC through the highlight report.	57, 61, 62, 73
Ligature Risks Quarter 4 Report – Paper K	High	There is a comprehensive fixed ligature workplan working on hinged doors and window replacements. Non-fixed ligatures have a small	58, 62

Agenda Item:	Assurance level:	Committee escalation:	ORR Risk Reference:
		working group set up to discuss plans as these remain a complex issue.	
Falls Prevention Annual Report – Paper L	High	The group continued to meet through the pandemic and have focussed on developing a learning culture and taking ownership at ward level with the use of huddles with a QI focus. Monthly monitoring continues and the levels of harm remain unchanged from previous years. Falls are multifactorial and theming remains important. Priorities have been set for the group moving forward.	57, 58, 59, 61
Nurses and AHPs Revalidation Annual Report – Paper M	High	The report which is an annual outline of processes, systems and controls within the Trust. Details of revalidations are contained within the report for information.	61
Medical Revalidation Annual Report – Paper N	High	The process and governance is detailed within the paper and there are no concerns or issues to highlight.	61
MHA Annual Report including Hospital Managers’ Panel Annual Report – Paper O	Low	The report details all activity pertaining to the Mental Health Act within the Trust. Mental Health Act activity has reduced – the details are within the paper including details of reduction in use of sections. There were highlighted issues around rights under Section 32 & Section 17 leave records storage, being picked up in the IM&T Delivery Group. Themes and learning from the MHA focused visits are captured and will be in the next LEG highlight report. These are also logged by the Compliance Team on the Quality Surveillance Tracker (QST) and shared in the QST meetings for immediate learning. QAC considered that the report does not offer assurance and that a clear plan is required to improve compliance against these targets. Until this is provided QAC agreed low assurance was received.	57, 61, 62
Research and Development Annual Report – Paper P	High	Covid themed research was now slowing down. Intellectual disabilities & LD collaboration with Loughborough University has attracted a large grant and the work ‘breaking the barriers’ has attracted funding from CRN colleagues and is now extending into ethnic minorities. Work is ongoing in the team to promote how all staff can become involved in research, making research relevant.	73
Guardian for Safer Working Quarterly	High	There have been 2 exception reports this quarter with no trend evident.	61

Agenda Item:	Assurance level:	Committee escalation:	ORR Risk Reference:
Report – Paper Q			
Staff Side Facilities Statement Annual Report – Paper R	High	The report was presented to QAC for information.	
ORR – Paper S	High	The register had undergone an executive review and this has resulted in some amendments to risk scores which are detailed within the report. All risks have been updated and risk 80 has now been removed. Residual risks remained low in risks 61, 62 & 75 as the team have a confidence level around the impact of the actions detailed. This will be monitored monthly.	57, 62
Strategic Workforce Committee Highlight Report 16 th March & 18 th May 2022 – Papers Ti & Tii	Medium	Assurance levels have been reconsidered and previously green assurance had been allocated to a number of areas due to there being plans in place, however on reflection this should have been amber and has now been amended. The People Promise Exemplar programme is a 12 month programme of improvement with work around retention and strategies with an action plan being drafted following diagnostics. The People Promise Manager is now in post and working on these issues.	60, 61, 73, 74
Policy Committee Highlight Report 27 th April 2022 – Paper U	Medium	The number of out of date policies had now reduced and good progress had been. A remapping process is ongoing and a Ulysses module for automated tracking will ensure this situation does not occur in the future.	57, 62
Health and Safety Highlight Report 5 th May 2022 – Paper V	High	The amber rating in the report was around fire safety at 2 sites and these were housekeeping risks rather than environmental issues and these have now been addressed. A group had been set up to support smoking cessation and the incidents referenced in the report were monitored and managed well at operational level, the smoking policy is due to be renewed.	57, 59, 61
Legislative Committee Highlight Report 18 th May 2022 – Paper W	Low	The Legislative Committee is focused on the Mental Health Act now and will be renamed accordingly with revised TOR and a new Chair. Issues remain around training, Section 132 rights and Section 17 leave forms. Action plans and the success of these is being discussed and the challenges are around pace and sustaining improvements with workforce and culture impacting compliance. QAC requested an urgent committee review.	57, 58, 61, 62
Safeguarding Committee	Medium	It was confirmed that there is currently a pressure point around LAC involvement in terms	58, 61

Agenda Item:	Assurance level:	Committee escalation:	ORR Risk Reference:
Highlight Report 13 th April 2022 – Paper X		of the system team and resolution is being sought.	
Quality Forum Highlight Report 12 th May & 9 th June 2022 – Paper Yi & Yii	H L	<p>Assurance on SIs remain low due to plans and trajectory progress not being on target, new plans and trajectories will be reviewed by the Incident Oversight Group (IOG) and the next Quality Forum meeting will receive and report on this. The positive and safe training compliance was red and some issues relating to this were due to staff sickness. An issue of unassigned tasks on SystemOne was highlighted is being investigated.</p> <p>There is a learning from deaths backlog in 2 directorates and an urgent QI programme and Quality Summit are planned to support with interim measures in place. Robust discussions around these issues will continue in the Quality Forum. QAC received a split assurance from the report – high assurance for the grip being applied and low for the issues of concern highlighted.</p>	57, 59, 61, 62

Chair of Committee:	Moira Ingham
---------------------	--------------