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FPC – 28<sup>th</sup> June 2022 – 1:00 – 3:30pm

Highlight Report

Strength of Assurance	Colour to use in 'Strength of Assurance' column below
Low	Red - there are significant gaps in assurance and/or not properly assured as to the adequacy of action plans/controls
Medium	Amber - there is reasonable level of assurance but some issues identified to be addressed.
High	Green – there are no gaps in assurance and there are adequate action plans/controls

Agenda Item:	Assurance level:	Committee escalation:	ORR Risk Reference:
Director of Finance Update – verbal	NA	The financial plan had now been resubmitted with the additional £1.4m funding included giving a break even plan position. This was a best-case basis submission.	71 81
CFO – Strategic Estates Update – Paper C	NA	The most recent FM Transformation Update had been given at the 21 <sup>st</sup> June Trust Board meeting. The proposed date for transfer had been discussed and agreed. This will be approved by the executive directors and come back to FPC for assurance at the August meeting.	65 66 67
Director of Strategy and Partnerships Update – verbal	NA	The LLR system and LPT continue to work on how the collaboratives will operate, once drafted this will follow the governance route to the Trust Board Confidential meeting. The SystmOne workshops took place and feedback is being collated along with lessons learned.	64 72
Finance Report Month 2 – Paper D	Low	This month's report is still measuring against the £1.4m deficit plan – this will change for next month. Month 2 is on target to the year-to-date plan however expenditure runs rates are higher than expected and there is a material risk of not delivering the year-to-date plan by month 3. Agency costs are the main driver in this due to being higher than expected There will be a more detailed year end forecast from month 3. Directorate main areas of overspend are in patient units, community nursing and wards. Also overspend due to service provision growth in urgent and planned care. There are a number of workstreams looking at these issues with workplans to	71 81

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		address this issue including the HCSW & admin zero vacancy scheme; retention schemes etc. A Trust wide plan in this area is being drafted to bring together the individual actions that are taking place. The plan and set of actions will be brought back to FPC for assurance. FPC received low assurance from the report due to the adverse position at month 2.	
Business Pipeline – Bids & Tenders Update – Paper E	High	FPC received the report for an update and received high assurance from the report.	64
Performance Report Month 2 – Finance and Performance Metrics - Paper F	Medium	Deteriorating areas have been escalated to the performance review meetings as have been off trajectory for 3 consecutive meetings. The 52 week waits remain stable; the vacancy rate is at 14% which is above the Trust’s target; appraisal and clinical supervision compliance rates have increased. Perinatal has national and system oversight and they continue to work closely with NHSI and NHFT. The early intervention in psychosis plans are in place and have been reviewed. There is some ongoing mapping work with the community mental health teams and an increasing number of vacancies in the planned care teams is having an impact and being monitored. The continence backlog has improved but not the overall performance and CINSS longest waits are now reducing. There is ongoing work considering trajectories for the rest of the year. Workforce supply is the key issue affecting waits. A review of the Performance Management Framework was agreed.	68 69 75
Improving Access Report – Paper G including Improving Access Committee Highlight Report (Paper Ti & Tii)	M	L	65 69 72 75

Agenda Item:	Assurance level:	Committee escalation:	ORR Risk Reference:
		takes place with the patient safety and patient experience teams. It is anticipated that the data will continue to look this way for some time, it is unlikely that dashboards and trajectories will improve in the next 6-9 months. FPC received split assurance from the report - medium assurance for the governance and plans in place and low assurance for the waiting times.	
CQC Action Plan Assurance Report – Paper H	High	3 of the 4 dormitory wards are now complete with the 4 <sup>th</sup> due for completion at the end of July. Dates for completion will be added to the new actions for the next report.	All
Provider Collaborative Performance – Quarter 4 – Paper I	High	A surplus is being reinvested in plans to deliver more adult eating disorder services. There is a carry forward which will be invested into front line services including a virtual day service. There has been a reduction in the length of stay and occupancy levels have remained static.	64
Data Security and Protection Toolkit Annual Return – Paper J	High	The final submission is planned for 29 <sup>th</sup> June the figure currently stands at 92.7% and new processes are planned for next year to ensure the target is met earlier on.	68 79
Caldicott Guardian Annual Report – Paper K	Medium	The data security & awareness training compliance issue was due to the extension to 18m and the back down to 12m meaning the figures reduced in March 2022. The issues with the subject access request are resolving and compliance is now increasing. This process is being streamlined. FPC received medium assurance from the report as there were measures in place, but outstanding issues remain.	68 79
Cyber Security Management – Paper L	High	The report was presented for information and FPC received high assurance from the report. There were no issues to escalate.	68 79
HIS Annual Report – Paper M	High	There has been a financial challenge this year. The SLA has been extended for a further 12 months due to the ICB changes and workforce remains a challenge. The risks highlighted in the report are being captured and held within the HIS service. Plans are in place to improve recruitment with a digital based recruitment fayre planned and an internal management development plan being drafted. FPC asked that consideration be given to the nature of the HIS risks and where they are held and to ensure this feeds into the strategic workforce plan.	69 79

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PLACE Audit Update – verbal	NA	The PLACE audit has not yet taken place due to covid restrictions – the schedule will restart in the autumn and report to FPC in due course.	NA
ORR – Paper N	High	Risk 70 was proposed to be closed as it refers to the previous year, a new risk will be included for this financial year. Following a benchmarking exercise, the risk has been reduced for risks 72 & 79.	All
Estates and Medical Equipment Committee Highlight Report 20 <sup>th</sup> April & 18 <sup>th</sup> May 2022 – Paper Oi & Oii	High	The medical devices issues was discussed at the committee. The discipline of returning and getting checked has lapsed and a task and finish group has been set up to look at this issue with comms planned to support the initiative.	65 66
Transformation Committee Highlight Report 12 <sup>th</sup> April & 10 <sup>th</sup> May 2022 – Paper Pi & Pii	High	There are planned changes to the committee and the function of the group will change moving forward.	64
IM&T Committee Highlight Report 20 <sup>th</sup> May 2022 – Paper Q	High	FPC received high assurance from the report there were no issues to escalate.	68 79
Data Privacy Committee Highlight Report 15 <sup>th</sup> March 12 <sup>th</sup> April & 10 <sup>th</sup> May 2022 – Paper Ri, Rii & Riii	High	FPC received high assurance from the report there were no issues to escalate.	68
Capital Management Committee Highlight Report 11 <sup>th</sup> May 2022 – Paper S	Medium	Amber items are largely linked with this year’s capital plan. Tthere were no other issues to escalate.	71 81
Improving Access Committee Highlight Report 5 <sup>th</sup> April & 3 <sup>rd</sup> May 2022 – Paper Ti & Ti	M L	FPC received split assurance from the report - medium assurance for the governance and plans in place and low assurance for the waiting times.	65 69 72 75
<b>Chair of Committee:</b>	Faisal Hussain		