

## Finance and Performance Committee – 31 October 2023

### Highlight Report

Strength of Assurance	Colour to use in Assurance Level columns below:
Low	Red - there are significant gaps in assurance and/or not properly assured as to the adequacy of action plans/controls
Medium	Amber - there is reasonable level of assurance, but some issues identified to be addressed.
High	Green – there are no gaps in assurance and there are adequate action plans/controls

Agenda Item:	Assurance level:		Committee escalation:	ORR Risk Ref:
	Current Performance	Delivery plan/ Management process		
<b>Attending:</b>	Alexander Carpenter, Faisal Hussain, Josie Spencer, Sharon Murphy, Hari Subramaniam, Sam Leak, Helen Thompson, Kate Dyer, Andres Patino (obo Tanya Hibbert), Alison Gilmour (obo David Williams), Richard Brown (obo Paul Sheldon)			
<b>Apologies:</b>	Tanya Hibbert, David Williams, Paul Sheldon, Jean Knight			
Action Tracker	High	High	FPC received a high level of assurance that all actions were on track.	
Beacon Unit	Medium	Medium	A verbal update was received on the short term financial position and long term proposals for the unit. FPC would continue to monitor progress and receive assurance at the next meeting that the Trust had done everything it could to mitigate the short term financial position.	
Director of Finance Update	High	High	The only issue to highlight was in relation to the PLICS submission. NHSE had pushed back the submission date from 16 <sup>th</sup> October to 20 <sup>th</sup> November which meant that the longer the delay into the financial year for submission of this data, the less useful the data was that came back to LPT.	90
Finance Report – M6 2023/24	Medium	Medium	<ul style="list-style-type: none"> <li>A net income and expenditure deficit of £762k was reported for the period. This was an adverse variance of £29k compared to the year-to-date September plan deficit of £733k.</li> <li>There was an operational budget overspend in estates of £2.4m, all other directorates were under spending.</li> <li>LLR as a system was forecasting a combined risk value of £82m deficit at year end; the ICB £35m, UHL £43m and LPT £4m.</li> <li>CIPs were all on plan except for estates however, the rest of the services were mostly relying on non-recurrent schemes to achieve delivery.</li> <li>£2.6m had been spent on agency in September which was an improved position.</li> </ul>	90

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			<ul style="list-style-type: none"> <li>30% of the capital plan had been spent year to date, the Trust was confident it would deliver its plan at year end. The system as a whole was around £42m over plan for next year and the System Capital Group was currently reviewing how to prioritise the limited resources.</li> <li>FPC acknowledged the significant effort that had gone into achieving this month 6 position.</li> </ul>	
Capital Management Committee	N/A	N/A	The highlight report from the meeting held 13 <sup>th</sup> September 2023 was presented. CMC had approved further investment of £3m over a two year period to support additional improvements to Langley and Kirby wards.	90
Board Performance Report M6 2023/24	Medium	Medium	There were seven special cause concerns on the exception reports matrix compared to six reported to the previous meeting. The new concern was for the Continence Service but the target was in the process of being revised to reflect agreement with commissioners. A review of the key escalation items from all areas had been carried out at the last Accountability Framework Meeting on 20 <sup>th</sup> October, executive directors had agreed there was nothing that needed to be raised at FPC.	69
Improving Access Report	Medium	Medium	Discussion focused on how the issue of CYP with emotional and mental health difficulties was being addressed. FPC noted a significant programme of work was currently underway on the risk stratification of CYP waiting for neurodevelopmental assessment and treatment.	75, 91
Proposal on access Reporting to the Accountability Framework meeting	High	High	FPC supported the proposal that the Access Delivery Group no longer reported directly to FPC but via the Accountability Framework meeting. The report would alert, advise and assure FPC on the matters that needed specific attention.	75
Data Privacy Group	N/A	N/A	The highlight reports from the meetings of the Data Privacy Group held on 12 <sup>th</sup> September 2023 and from the Data Quality Group held on 10 <sup>th</sup> October 2023 were presented. The only medium level of assurance related to the data quality highlight report and the significant volume of data being reviewed for all services. Work was underway to establish whether what was reported on was the right thing.	68
Transformation and QI Delivery Group	N/A	N/A	The highlight report from the meeting held on 12 <sup>th</sup> September 2023 was presented. There were only two amber items which related to progress with agency reduction transformation and the Step Up To Great mental health transformation work.	72
CFO Strategic Estates Update	High	High	An update on progress of the estates strategy was received, overall good progress was being made. FPC requested the strategy be presented at its next meeting, together with a report on BAU estates performance now that FM services had been provided in-house for a year.	66

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EMEC Highlight Report	N/A	N/A	The report from the meeting held on 20 <sup>th</sup> September 2023 was received for information, there were a number of amber areas but progress was being made to resolve them.	66
Business Pipeline – Bids & Tenders	High	High	The key points to note were that with effect from 31 <sup>st</sup> October, Perinatal Mental Health Inpatient Services would transfer from NHSE Specialist Commissioning to East Midlands Perinatal MH Provider Collaborative led by Derbyshire Healthcare FT.  A task and finish group would be established to progress delegation proposals to move the LDA Collaborative from an MOU to a contract from May 2024.	64
Collaborative and Commissioning Committee	N/A	N/A	The highlight report from the meeting held on 31 <sup>st</sup> July 2023 was presented. An improvement in KPIs for the LDA Collaborative was noted, the number of inpatients continued to reduce. The collaborative was 10% ahead of their annual health checks compared to the previous year.  The other issue to note was the birthday event for IMPACT, celebrations had taken place in respect of an award received for partnership working with St Andrew's Healthcare.	N/A
IM&T Committee	N/A	N/A	The highlight reports from the meetings held 25 <sup>th</sup> August and 22 <sup>nd</sup> September 2023 were presented. The two amber rated items related to improving staff engagement with IM&T, LHIS was trialling an agile help desk scheme at the Bradgate Unit for staff to drop-in to discuss their IT issues. The other item was around budget and spend which was slightly ahead of where it was expected to be at month five and related to the IT rolling replacement programme. The Capital Management Committee had approved additional funding to facilitate this spend.	83
Organisational Risk Register	High	High	The changes made to ORR risks during October were reported. FPC agreed the closure of ORR 87 ( <i>risk of unknown issues following the establishment of a new FM service</i> ) as the transfer of FM services had taken place a year previously and BAU performance was to be reported at future meetings.	All
Terms of Reference	High	High	FPC approved the revised terms of reference following agreement during the annual review of the effectiveness of FPC for 2022/23 that there should be both clinical and medical representation at the meeting. FPC noted the Medical Director and Director of Nursing could send someone on their behalf to the meeting if they did not have capacity to attend.	

<b>Chair of Committee:</b>	Alexander Carpenter, Non Executive Director
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